INSURANCE TRANSMITTAL SHEET

SR 23-4615

	E: October 16, 2023
TO:	BOARD OF SUPERVISORS
CONT	FRACT: Alliance for Workforce Development
X	The attached insurance documents have been reviewed and meet all of the
	contract insurance requirements. REVIEWED
	By Brittni Inks at 1:11 pm, Oct 16, 2023
	Brittni Inks, Administrative Analyst
	attached contract and insurance documents have been reviewed and are being led to the originating department because:
	General Liability Insurance
	Insurance certificate not provided
	Coverage does not meet contract requirements
	Additional insured endorsement required
	Other:
	Auto Insurance
	☐ Insurance certificate not provided
	Additional insured endorsement required
	☐ Insurance is not business rated
	Other:
	Workers' Compensation Insurance
	Insurance certificate not provided
	Errors & Omissions/Professional Liability Insurance
	☐ Insurance certificate not provided
	Other:
	e call me at 530.265.7013 if you have questions regarding insurance ements.

Administering Agency: Nevada	County Social Services	Department, Health	and Human Service	es Agency
Contract No.				

PROFESSIONAL SERVICES CONTRACT

Contract Description: Provision of employment services in Western and Eastern Nevada county.

FOR HEALTH AND HUMAN SERVICES AGENCY

THIS PROFESSIONAL SERVICES CONTRACT ("Contract") is made at Nevada City, California, as of July 11, 2023 by and between the County of Nevada, ("County"), and Alliance for Workforce Development, Inc. ("Contractor") (together "Parties", individual "Party"), who agree as follows:

- 1. <u>Services</u> Subject to the terms and conditions set forth in this Contract, Contractor shall provide the services described in Exhibit A. Contractor shall provide said services at the time, place, and in the manner specified in Exhibit A.
- 2. Payment County shall pay Contractor for services rendered pursuant to this Contract at the time and in the amount set forth in Exhibit B. The payments specified in Exhibit B shall be the only payment made to Contractor for services rendered pursuant to this Contract. Contractor shall submit all billings for said services to County in the manner specified in Exhibit B; or, if no manner be specified in Exhibit B, then according to the usual and customary procedures which Contractor uses for billing clients similar to County. The amount of the contract shall not exceed ONE HUNDRED THIRTY THOUSAND Dollars (\$130,000).
- 3. <u>Term</u> This Contract shall commence on July 1, 2023 All services required to be provided by this Contract shall be completed and ready for acceptance no later than the **Contract Termination Date** of June 30, 2024.
- 4. <u>Facilities, Equipment and Other Materials</u> Contractor shall, at its sole cost and expense, furnish all facilities, equipment, and other materials which may be required for furnishing services pursuant to this Contract.
- 5. **Exhibits** All exhibits referred to herein and attached hereto are incorporated herein by this reference.
- 6. <u>Electronic Signatures</u> The Parties acknowledge and agree that this Contract may be executed by electronic signature, which shall be considered as an original signature for all purposes and shall have the same force and effect as an original signature. Without limitation, "electronic signature" shall include faxed or emailed versions of an original signature or electronically scanned and transmitted versions (e.g., via pdf) of an original signature.
- 7. <u>Time for Performance</u> Time is of the essence. Failure of Contractor to perform any services within the time limits set forth in Exhibit A or elsewhere in this Contract shall constitute material breach of this contract. Contractor shall devote such time to the performance of services pursuant to this Contract as may be reasonably necessary for the satisfactory performance of Contractor's obligations pursuant to this Contract. Neither Party shall be considered in default of this Contract to the extent performance is prevented or delayed by any cause, present or future, which is beyond the reasonable control of the Party.
- 8. **Liquidated Damages**

Liquidated Damages are presented as an estimate of an intangible loss to the County. It is a provision that allows for the payment of a specified sum should Contractor be in breach of contract. Liquidated Damages \square shall apply \boxtimes shall not apply to this contract. Liquidated Damages applicable to this contract are incorporated in Exhibit F, attached hereto.

9. **Relationship of Parties**

9.1. **Independent Contractor**

In providing services herein, Contractor, and the agents and employees thereof, shall work in an independent capacity and as an independent contractor and not as agents or employees of County. Contractor acknowledges that it customarily engages independently in the trade, occupation, or business as that involved in the work required herein. Further the Parties agree that Contractor shall perform the work required herein free from the control and direction of County, and that the nature of the work is outside the usual course of County's business. In performing the work required herein, Contractor shall not be entitled to any employment benefits, Workers' Compensation, or other programs afforded to County employees. Contractor shall hold County harmless and indemnify County against such claim by its agents or employees. County makes no representation as to the effect of this independent contractor relationship on Contractor's previously earned California Public Employees Retirement System ("CalPERS") retirement benefits, if any, and Contractor specifically assumes the responsibility for making such determination. Contractor shall be responsible for all reports and obligations including but not limited to: social security taxes, income tax withholding, unemployment insurance, disability insurance, workers' compensation and other applicable federal and state taxes.

- 9.2. No Agent Authority Contractor shall have no power to incur any debt, obligation, or liability on behalf of County or otherwise to act on behalf of County as an agent. Neither County nor any of its agents shall have control over the conduct of Contractor or any of Contractor's employees, except as set forth in this Contract. Contractor shall not represent that it is, or that any of its agents or employees are, in any manner employees of County.
- 9.3. <u>Indemnification of CalPERS Determination</u> In the event that Contractor or any employee, agent, or subcontractor of Contractor providing service under this Contract is determined by a court of competent jurisdiction or CalPERS to be eligible for enrollment in CalPERS as an employee of County, Contractor shall indemnify, defend and hold harmless County for all payments on behalf of Contractor or its employees, agents, or subcontractors, as well as for the payment of any penalties and interest on such contributions, which would otherwise be the responsibility of County.
- 10. Assignment and Subcontracting Except as specifically provided herein, the rights, responsibilities, duties and services to be performed under this Contract are personal to Contractor and may not be transferred, subcontracted, or assigned without the prior written consent of County. Contractor shall not substitute or replace any personnel for those specifically named herein or in its proposal without the prior written consent of County.

Contractor shall cause and require each transferee, subcontractor, and assignee to comply with the insurance provisions set forth herein, to the extent such insurance provisions are required of Contractor under this Contract. Failure of Contractor to so cause and require such compliance by each transferee, subcontractor, and assignee shall constitute a material breach of this Contract, and, in addition to any other remedy available at law or otherwise, shall serve as a basis upon which County may elect to suspend payments hereunder, or terminate this Contract, or both.

- 11. <u>Licenses, Permits, Etc.</u> Contractor represents and warrants to County that Contractor shall, at its sole cost and expense, obtain or keep in effect at all times during the term of this Contract, any licenses, permits, and approvals which are legally required for Contractor to practice its profession at the time the services are performed.
- 12. Hold Harmless and Indemnification Contract To the fullest extent permitted by law, each Party (the "Indemnifying Party") hereby agrees to protect, defend, indemnify, and hold the other Party (the "Indemnified Party"), its officers, agents, employees, and volunteers, free and harmless from any and all losses, claims, liens, demands, and causes of action of every kind and character resulting from the

Indemnifying Party's negligent act, willful misconduct, or error or omission, including, but not limited to, the amounts of judgments, penalties, interest, court costs, legal fees, and all other expenses incurred by the Indemnified Party arising in favor of any party, including claims, liens, debts, personal injuries, death, or damages to property (including employees or property of the Indemnified Party) and without limitation, all other claims or demands of every character occurring or in any way incident to, in connection with or arising directly or indirectly out of, the Contract. The Indemnifying Party agrees to investigate, handle, respond to, provide defense for, and defend any such claims, demand, or suit at the sole expense of the Indemnifying Party, using legal counsel approved in writing by Indemnified Party. Indemnifying Party also agrees to bear all other costs and expenses related thereto, even if the claim or claims alleged are groundless, false, or fraudulent. This provision is not intended to create any cause of action in favor of any third party against either Party or to enlarge in any way either Party's liability but is intended solely to provide for indemnification of the Indemnified Party from liability for damages, or injuries to third persons or property, arising from or in connection with Indemnifying Party's performance pursuant to this Contract. This obligation is independent of, and shall not in any way be limited by, the minimum insurance obligations contained in this Contract.

- 13. <u>Certificate of Good Standing</u> Contractors who are registered corporations, including those corporations that are registered non-profits, shall possess a Certificate of Good Standing also known as Certificate of Existence or Certificate of Authorization from the California Secretary of State, and shall keep its status in good standing and effect during the term of this Contract.
- 14. <u>Standard of Performance</u> Contractor shall perform all services required pursuant to this Contract in the manner and according to the standards observed by a competent practitioner of the profession in which Contractor is engaged in the geographical area in which Contractor practices its profession. All products of whatsoever nature which Contractor delivers to County pursuant to this Contract shall be prepared in a substantial first class and workmanlike manner and conform to the standards or quality normally observed by a person practicing in Contractor's profession.
- 15. Contractor without additional compensation Contractor's personnel, when on County's premises and when accessing County's network remotely, shall comply with County's regulations regarding security, remote access, safety and professional conduct, including but not limited to Nevada County Security Policy NCSP-102 Nevada County External User Policy and Account Application regarding data and access security. Contractor personnel will solely utilize County's privileged access management platform for all remote access support functions, unless other methods are granted in writing by County's Chief Information Officer or their designee.
- 16. Prevailing Wage and Apprentices To the extent made applicable by law, performance of this Contract shall be in conformity with the provisions of California Labor Code, Division 2, Part 7, Chapter 1, commencing with section 1720 relating to prevailing wages which must be paid to workers employed on a public work as defined in Labor Code section 1720, et seq., and shall be in conformity with Title 8 of the California Code of Regulations section 200 et seq., relating to apprenticeship. Where applicable:
 - Contractor shall comply with the provisions thereof at the commencement of Services to be
 provided herein, and thereafter during the term of this Contract. A breach of the requirements of
 this section shall be deemed a material breach of this contract. Applicable prevailing wage
 determinations are available on the California Department of Industrial Relations website at
 http://www.dir.ca.gov/OPRL/PWD.
 - Contractor and all subcontractors must comply with the requirements of Labor Code section 1771.1(a) pertaining to registration of contractors pursuant to section 1725.5. Registration and all related requirements of those sections must be maintained throughout the performance of the Contract.
 - Contracts to which prevailing wage requirements apply are subject to compliance monitoring and
 enforcement by the Department of Industrial Relations. Each Contractor and each subcontractor
 must furnish certified payroll records to the Labor Commissioner at least monthly.

- The County is required to provide notice to the Department of Industrial Relations of any public work contract subject to prevailing wages within five (5) days of award.
- 17. Accessibility It is the policy of County that all County services, programs, meetings, activities and facilities shall be accessible to all persons, and shall be comply with the provisions of the Americans With Disabilities Act and Title 24, California Code of Regulations. To the extent this Contract shall call for Contractor to provide County contracted services directly to the public, Contractor shall certify that said direct services are and shall be accessible to all persons.
- 18. Nondiscriminatory Employment Contractor shall not discriminate in its employment practices because of race, religious creed, color, national origin, ancestry, physical handicap, medical condition, marital status, sex or sexual orientation, or any other legally protected category, in contravention of the California Fair Employment and Housing Act, Government Code section 12900 et seq.
- 19. <u>Drug-Free Workplace</u> Senate Bill 1120, (Chapter 1170, Statutes of 1990), requires recipients of State grants to maintain a "drug-free workplace". Every person or organization awarded a contract for the procurement of any property or services shall certify as required under Government Code Section 8355-8357 that it will provide a drug-free workplace.
- 20. <u>Political Activities</u> Contractor shall in no instance expend funds or use resources derived from this Contract on any political activities.

21. Financial, Statistical and Contract-Related Records:

- 21.1. Books and Records Contractor shall maintain statistical records and submit reports as required by County. Contractor shall also maintain accounting and administrative books and records, program procedures and documentation relating to licensure and accreditation as they pertain to this Contract. All such financial, statistical and contract-related records shall be retained for five (5) years or until program review findings and/or audit findings are resolved, whichever is later. Such records shall include but not be limited to bids and all supporting documents, original entry books, canceled checks, receipts, invoices, payroll records, including subsistence, travel and field expenses, together with a general ledger itemizing all debits and credits.
- 21.2. <u>Inspection</u> Upon reasonable advance notice and during normal business hours or at such other times as may be agreed upon, Contractor shall make all of its books and records, including general business records, available for inspection, examination or copying, to County, or to the State Department of Health Care Services, the Federal Department of Health and Human Services, the Controller General of the United States and to all other authorized federal and state agencies, or their duly authorized representatives.
- 21.3. <u>Audit</u> Contractor shall permit the aforesaid agencies or their duly authorized representatives to audit all books, accounts or records relating to this Contract, and all books, accounts or records of any business entities controlled by Contractor who participated in this Contract in any way. All such records shall be available for inspection by auditors designated by County or State, at reasonable times during normal business hours. Any audit may be conducted on Contractor's premises or, at County's option, Contractor shall provide all books and records within fifteen (15) days upon delivery of written notice from County. Contractor shall promptly refund any moneys erroneously charged and shall be liable for the costs of audit if the audit establishes an over-charge of five percent (5%) or more of the correct amount owed during the audit period.
- 22. <u>Cost Disclosure:</u> In accordance with Government Code Section 7550, should a written report be prepared under or required by the provisions of this Contract, Contractor agrees to state in a separate section of said report the numbers and dollar amounts of all contracts and subcontracts relating to the preparation of said report.

23. **Termination**.

- **A.** A material breach, as defined pursuant to the terms of this Contract or otherwise, in addition to any other remedy available at law or otherwise, shall serve as a basis upon which County may elect to immediately suspend payments hereunder, or terminate this Contract, or both, without notice.
- **B.** If Contractor fails to timely provide in any manner the services materials and products required under this Contract, or otherwise fails to promptly comply with the terms of this Contract, or violates any ordinance, regulation or other law which applies to its performance herein, County may terminate this Contract by giving **five (5) calendar days written notice to Contractor.**
- C. Either Party may terminate this Contract for any reason, or without cause, by giving **thirty** (30) calendar days written notice to the other, which notice shall be sent by registered mail in conformity with the notice provisions, below. In the event of termination not the fault of Contractor, Contractor shall be paid for services performed to the date of termination in accordance with the terms of this Contract. Contractor shall be excused for failure to perform services herein if such performance is prevented by acts of God, strikes, labor disputes or other forces over which Contractor has no control.
- **D.** County, upon giving **thirty** (30) **calendar days written notice** to Contractor, shall have the right to terminate its obligations under this Contract at the end of any fiscal year if County or the State of California, as the case may be, does not appropriate funds sufficient to discharge County's obligations coming due under this contract.
- **E.** Any notice to be provided under this section may be given by the Agency Director.
- **F.** Suspension: County, upon giving seven (7) calendar days written notice to Contractor, shall have the right to suspend this Contract, in whole or in part, for any time period as County deems necessary due to delays in Federal, State or County appropriation of funds, lack of demand for services to be provided under this contract, or other good cause. Upon receipt of a notice of suspension from County, Contractor shall immediately suspend or stop work as directed by County and shall not resume work until and unless County gives Contractor a written notice to resume work. In the event of a suspension not the fault of the Contractor, Contractor shall be paid for services performed to the date of the notice of suspension in accordance with the terms of this Contract.

In the event this Contract is terminated:

- 1) Contractor shall deliver copies of all writings prepared by it pursuant to this Contract. The term "writings" shall be construed to mean and include handwriting, typewriting, printing, Photostatting, photographing, and every other means of recording upon any tangible thing any form of communication or representation, including letters, words, pictures, sounds, or symbols, or combinations thereof.
- County shall have full ownership and control of all such writings delivered by Contractor pursuant to this Contract.
- 3) County shall pay Contractor the reasonable value of services rendered by Contractor to the date of termination pursuant to this Contract not to exceed the amount documented by Contractor and approved by County as work accomplished to date; provided, however, that in no event shall any payment hereunder exceed the amount of the Contract specified in Exhibit B, and further provided, however, County shall not in any manner be liable for lost profits which might have been made by Contractor had Contractor completed the services required by this Contract. In this regard, Contractor shall furnish to County such financial information as in the judgment of County is necessary to determine the reasonable value of the services rendered by Contractor. The foregoing is cumulative and does not affect any right or remedy, which County may have in law or equity.
- 24. <u>Intellectual Property</u> Contractor will not publish or transfer any materials produced or resulting from activities supported by this Contract without the express written consent of County. All reports, original drawings, graphics, plans, studies and other data and documents, in whatever form or format, assembled or prepared by Contactor or Contractor's subcontractors, consultants, and other agents in connection with this Contract are "works made for hire" (as defined in the Copyright Act, 17 U.S.C. Section 101 et seq., as amended) for County, and Contractor unconditionally and irrevocably transfers and assigns to County all

right, title, and interest, including all copyrights and other intellectual property rights, in or to the 'works made for hire." Unless required by law, Contractor shall not publish, transfer, discuss, or disclose any of the above-described works made for hire or any information gathered, discovered, or generated in any way through this Contract, without County's prior express written consent. To the extent County provides any of its own original photographs, diagrams, plans, documents, information, reports, computer code and all recordable media together with all copyright interests thereto, to Contractor during this Contract, such information shall remain the property of County, and upon fifteen (15) days demand therefor, shall be promptly delivered to County without exception.

- 25. <u>Waiver</u> One or more waivers by one Party of any major or minor breach or default of any provision, term, condition, or covenant of this Contract shall not operate as a waiver of any subsequent breach or default by the other Party.
- 26. <u>Conflict of Interest</u> Contractor certifies that no official or employee of County, nor any business entity in which an official of County has an interest, has been employed or retained to solicit or aid in the procuring of this Contract. In addition, Contractor agrees that no such person will be employed in the performance of this Contract unless first agreed to in writing by County. This includes prior Nevada County employment in accordance with County's Personnel Code
- 27. **Entirety of Contract** This Contract contains the entire Contract of County and Contractor with respect to the subject matter hereof, and no other contract, statement, or promise made by any Party, or to any employee, officer or agent of any Party, which is not contained in this Contract, shall be binding or valid.
- 28. <u>Alteration</u> No waiver, alteration, modification, or termination of this Contract shall be valid unless made in writing and signed by all Parties, except as expressly provided in Section 23, Termination.
- 29. Governing Law and Venue This Contract is executed and intended to be performed in the State of California, and the laws of that State shall govern its interpretation and effect. The venue for any legal proceedings regarding this Contract shall be the County of Nevada, State of California. Each Party waives any federal court removal and/or original jurisdiction rights it may have.
- 30. <u>Compliance with Applicable Laws</u> Contractor and any subcontractors shall comply with any and all federal, state and local laws, codes, ordinances, rules and regulations which relate to, concern of affect the services or type of services to be provided by this Contract.
- 31. <u>Confidentiality</u> Contractor, its employees, agents and or subcontractors may come in contact with documents that contain information regarding matters that must be kept confidential by County, including personally identifiable patient or client information. Even information that might not be considered confidential for the usual reasons of protecting non-public records should be considered by Contractor to be confidential.

Contractor agrees to maintain confidentiality of information and records as required by applicable federal, state, and local laws, regulations and rules and recognized standards of professional practice.

Notwithstanding any other provision of this Contract, Contractor agrees to protect the confidentiality of any confidential information with which Contractor may come into contact in the process of performing its contracted services. This information includes but is not limited to all written, oral, visual and printed patient or client information, including but not limited to: names, addresses, social security numbers, date of birth, driver's license number, case numbers, services provided, social and economic conditions or circumstances, agency evaluation of personal information, and medical data.

Contractor shall not retain, copy, use, or disclose this information in any manner for any purpose that is not specifically permitted by this Contract. Violation of the confidentiality of patient or client information may, at the option of County, be considered a material breach of this Contract.

32. Additional Contractor Responsibilities

- A. To the extent Contractor is a mandated reporter of suspected child and/or dependent adult abuse and neglect, it shall ensure that its employees, agents, volunteers, subcontractors, and independent contractors are made aware of, understand, and comply with all reporting requirements. Contractor shall immediately notify County of any incident or condition resulting in injury, harm, or risk of harm to any child or dependent adult served under this Contract.
- B. Contractor will immediately notify County of any active complaints, lawsuits, licensing or regulatory investigations, reports of fraud or malfeasance, or criminal investigations regarding its operations. Contractor agrees to work cooperatively with County in response to any investigation commenced by County with regard to this Contract or the clients served herein, including providing any/all records requested by County related thereto.
- C. Contractor shall employ reasonable background check procedures on all employees, prospective employees, volunteers and consultants performing work involving direct contact with minor children or dependent adults under this Contract, including fingerprinting and criminal records checks, sexual offender registry checks, and reference checks, including both personal and professional references.
- 33. <u>Notification</u> Any notice or demand desired or required to be given hereunder shall be in writing and deemed given when personally delivered or deposited in the mail, postage prepaid, and addressed to the Parties as follows:

COUNTY OI	F NEVADA:	CONTRACT	OR:	
Nevada Count	ty Social Services Department	Alliance for Workforce Development, Inc.		
Address:	950 Maidu Ave	Address	P.O. Box 3750	
City, St, Zip	Nevada City, CA 95959	City, St, Zip	Quincy, California 95971	
Attn:	Tamaran Cook	Attn:	Kristine ZuaZua	
Email:	Tamaran.Cook@nevadacountyca.gov	Email:	kfarrell@ncen.org	
Phone:	(530) 265-7160	Phone:	(530) 616-1525	

Any notice so delivered personally shall be deemed to be received on the date of delivery, and any notice mailed shall be deemed to be received five (5) days after the date on which it was mailed.

Authority: All individuals executing this Contract on behalf of Contractor represent and warrant that they are authorized to execute and deliver this Contract on behalf of Contractor.

IN WITNESS WHEREOF, the Parties have executed this Contract to begin on the Effective Date.

By:			Date: _	
Printed	Name/Title:	Honorable Edward Sco	field, Chair, of the I	Board of Supervisors
		e Patterson Hunter, Clerk		pervisors

By:	Date:
Name:	
* Title:	

CONTRACTOR: Alliance for Workforce Development, Inc.

*If Contractor is a corporation, this Contract must be signed by two corporate officers; one of which must be the secretary of the corporation, and the other may be either the President or Vice President, unless an authenticated corporate resolution is attached delegating authority to a single officer to bind the corporation (California Corporations Code Sec. 313).

Exhibits

Exhibit A: Schedule of Services

COUNTY OF NEVADA:

Exhibit B: Schedule of Charges and Payments

Exhibit C: Insurance Requirements

Summary Page

EXHIBIT A SCHEDULE OF SERVICES ALLIANCE FOR WORKFORCE DEVELOPMENT, INC.

Alliance for Workforce Development, Inc. (AFWD), hereinafter referred to as "Contractor" shall provide employment services in Western and Eastern Nevada County for the Nevada County Department of Social Services, hereinafter referred to as "County".

PROGRAM OVERVIEW

AFWD provides employment related services funded through the Workforce Innovation and Opportunity Act (WIOA) located at the America's Job Centers. In Nevada County, these centers are called "One Stop Business and Career Centers" and are co-located with County services in both Western and Eastern communities (Grass Valley and Truckee).

The Department of Social Services determines eligibility and enrolls Nevada County residents in various public assistance programs including CalFresh, CalWORKs (TANF), General Assistance and Medi-Cal. All Social Services clients could benefit from additional employment related services, whether or not they qualify for the County's Welfare to Work (WTW) Program. The One Stop Centers provide these needed services regardless of WTW enrollment, otherwise fulfilling a gap in services in both Eastern and Western communities of Nevada County for Social Services clients.

Sec. 121. (b) (1) of the Workforce Innovation and Opportunity Act requires the partnership of the One Stop Operator (AFWD) and TANF (Nevada County Social Services) to make available the partners services in the One Stop building and / or develop a referral process for such services. Making available through the One Stop system the career services applicable to such program or activity and establishing methods ensures the needs of workers and youth (including individuals with barriers to employment) are addressed.

Contractor agrees to provide the following services at Grass Valley and Truckee locations (total of 2 full-time Resource Specialists):

JOB SEARCH SERVICES - Contractor will provide job seekers with job listings from various sources, including CalJOBS, classified ads, employment agency listings, and Internet sites. Contractor will also assist in the development of a job search strategy. Contractor will make direct referrals to employers as positions become available.

EMPLOYMENT COUNSELING: Contractor will also provide counseling regarding the actual job search process. The counseling is the most vital part of the upfront services, as many clients do not know how to look for a job, present themselves properly, research new industries, etc. An assessment will be done, as to where each client is regarding prior work history, education, presentation, transferable skills, industries to research, community resources available, etc.

Employment resource services provided by Contractor will include the following:

- 1. Public Assistance screening and application assistance: Contractor will screen clients for participation in social services programs and will assist clients with completing the application for public assistance through the C4Yourself.com application process as appropriate.
- Supervision Contractor will supervise CalWORKs clients' employment related activities while
 using the Resource Center, will monitor work hours completed and will sign CalWORKs activity
 timesheets as requested.

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Exhibit A

- 3. Resume development and review Contractor will offer resume workshops and computer software for resume development. Contractor will also provide job seekers with copies of their resumes, printed on resume paper and will mail or fax the job seeker's resume or submit them to employers online, when requested.
- 4. Interviewing assistance Contractor will provide job seekers with assistance with preparing for interviews. This is to include regularly scheduled Interviewing Skills workshops in both One Stop Centers.
- 5. Computers are to be made available by Contractor to job seekers for employment related activities.
- 6. GED preparation Contractor shall assist clients in the use of GED preparation software now available on Resource Center computers.
- 7. Keyboard and 10-key practice, testing, and certification are to be made available by Contractor.
- 8. Resource booklets and brochures are to be available to job seekers, including: California Occupational Guides and resource directories for various communities
- 9. Online documentation submission Contractor shall submit job seekers' applications, resumes, and other documentation online when required by employers.
- 10. Unemployment Insurance screening and assistance contractor shall assist clients with translation and completion of documents in the state's CalJOBS computer system.
- 11. Labor market data is to be made available to assist job seekers and clients in career planning.
- 12. Printers, phone and fax services are to be made available to job seekers and social services clients for employment and public assistance needs.
- 13. Vocational assessments Contractor is to offer career exploration guidance and tools to job seekers and clients.
- 14. Referrals Contractor is to refer job seekers and clients to other resources, when appropriate, including, but not limited to, the California Department of Rehabilitation, Employment Development Department (EDD), Regional Occupational Program (ROP), SCORE, Experience Works, Public Health, etc.
- 15. Application and resume screening for Employers Contractor shall provide these services to employers recruiting new employees.
- 16. Driver's License Staff assisted services to clients without a driver's license. Resources will be identified to overcome the barriers of obtaining a driver's license.
- 17. Introduction to Computers workshop Contractor shall provide job seekers with knowledge and understanding of computers and software associated with basic use.
- 18. CalJOBS introduction and Enrollment Contractor shall assist job seekers with enrollment into the state's job seeker system for advanced level of job search activities.
- 19. Provide workshop and/or staff assisted services as needed.
- 20. Provide California Voter Registration forms to all clients.
- 21. Assurance of Compliance with Confidentiality See Attachment 1

Contractor will provide the following **reports**:

- 1. Monthly visitor count to both One Stop locations.
- 2. Job market analysis for Western and Eastern Nevada County upon request.
- 3. Workshop attendance reports.
- 4. Other client outcome metrics as recommended by Contractor.

Equity and Inclusion:

Despite progress in addressing explicit discrimination, racial inequities continue to be deep, pervasive, and persistent across the country. Though we have made many strides toward racial equity, policies, practices, and implicit bias have created and still create disparate results. Through partnerships with the

community, Nevada County Department of Social Services strives to address these inequities and continue progress in moving forward.

Contractor is encouraged to have a diverse and inclusive workforce that includes representation from the disparate communities served by our county. Contractor is expected to think holistically about creating services, program sites, and an employee culture that is welcoming and inclusive. Contractor should track metrics on Diversity, Equity, and Inclusion outcomes within their service delivery. Additional efforts should be made to identify and highlight growth opportunities for equitable outcomes, access to services, and other opportunities. Contractor should contact County contract manager about proposed metrics to track.

Services should be designed to meet clients' diverse needs. Contractor is expected to participate in trainings and tailor outreach efforts and marketing materials to engage a diverse population of community members. Given that Spanish is a threshold language in Nevada County, a special emphasis should be placed on engaging Latinx communities and providing services in Spanish.

EXHIBIT B SCHEDULE OF CHARGES AND PAYMENTS ALLIANCE FOR WORKFORCE DEVELOPMENT, INC.

The County shall pay to the Contractor for satisfactory performance of services as described in Exhibit "A", a maximum amount not to exceed One Hundred Thirty Thousand Dollars (\$130,000) for the contract term FY 23/24.

RESOURCE AND REFERRAL OPERATING BUDGET

Salaries and Benefits – 2 Full Time Resource Specialists	\$113,635
(including Workers' Compensation and Payroll Taxes)	
Direct Operating Costs	\$ 5,000
Subtotal	\$118,635
Indirect Costs (maximum of 10% of Salaries and Benefits)	\$ 11,365
Total	\$130,000

CONTINGENCY:

The maximum obligation of this Contract is contingent and dependent upon County's receipt of anticipated allocations to support the services covered under this Agreement. Contractor may shift budget line items with prior written approval from the Program Manager or designee.

BILLING AND PAYMENT

Contractor shall submit invoices to County by the 15th of the month following the month services were provided. Contractor agrees to be responsible for the validity for all invoices.

Each invoice shall include:

- Contract Number assigned to the approved contract
- Dates/Month services were rendered and/or billing period covered
- Actual cost of services rendered, per the budgeted line items above
- Supporting documentation and/or reports as required and specified in Exhibit A

SUBMIT INVOICES TO:

HHSA Administration Attn: DSS Fiscal 950 Maidu Avenue Nevada City, California 95959

County shall review each invoice for accuracy and appropriateness of supporting documentation. Should there a discrepancy on the invoice, it will be returned to Contractor for correction and/or additional supporting documentation. Payments will be made in accordance with County processes once an invoice has been approved by the department. Errors or lack of sufficient supporting documentation may result in a delay of invoice processing and payment.

BILLING PROCESS EXCEPTION

Page 12 of 23 Exhibit B

Professional Services Contract -HHSA – Schedule of Charges and Payments

By the tenth of June each year, Contractor shall provide an invoice for services rendered for the month of May. An invoice of services provided for the month of June shall be provided no later than the tenth of July.					

ATTACHMENT 1 CONFIDENTIALITY AGREEMENT

Contractor shall not duplicate, disseminate or disclose Personally Identifiable Information (PII) except as allowed in this agreement. This agreement applies to any written, oral or electronic PII obtained from, or provided by, the County for the purpose of administering the CalWORKs Welfare to Work program. This information includes all written, oral, visual and printed applicant/recipient records, including but not limited to: names, addresses, social security numbers, date of birth, driver's license number, case numbers, services provided, social and economic conditions or circumstances, agency evaluation of personal information, and medical data. (See also 22 California Code of Regulations Section 50111 and 51009.)

Definitions

For the purposes of this agreement, the following terms mean:

- 1. "Assist in the Administration of the Program" means performing administrative functions on behalf of programs, such as determining eligibility for, or enrollment in, and collecting PII for such purposes; to the extent such activities are authorized by law.
- 2 "Breach" refers to actual loss, loss of control, compromise, unauthorized disclosure, unauthorized acquisition, unauthorized access, or any similar term referring to situations where persons other than authorized users and for other than authorized purposes have access or potential access to PII, whether electronic, paper, verbal, or recorded.
- 3. "County staff" means those contractor employees, subcontractors, vendors and agents performing any functions for the county that require access to and/or use of PII and that are authorized by the county to access and use PII.
- 4. "PII" is personally identifiable information that is obtained through the MEDS or IEVS on behalf of the programs and can be used alone, or in conjunction with any other reasonably available information, to identify a specific individual. The PII includes, but is not limited to, an individual's name, social security number, driver's license number, identification number, biometric records, date of birth, place of birth, or mother's maiden name. The PII may be electronic, paper, verbal, or recorded.
 - 5. "Security Incident" means the attempted or successful unauthorized access, use, disclosure, modification, or destruction of PII, or interference with system operations in an information system which processes PII that is under the control of the contractor, county or county's Statewide Automated Welfare System (SAWS) Consortium, or under the control of a contractor, subcontractor or vendor of the county, on behalf of the county.

6. "Secure Areas" means any area where:

- a. Contractor staff assist in the administration of their program;
- b. Contractor staff use or disclose PII; or
- **C.** PII is stored in paper or electronic format.

Contractor agrees to:

- 1. Use or disclose PII obtained from the County only to perform administrative functions related to administering employment or social services to the County's clients. Access to PII shall be restricted to Contractor staff who need to perform their official duties to assist in the administration of the program.
- 2. Use or disclose PII as permitted by the CDSS Privacy and Security Agreement and only to assist in the administration of programs in accordance with 45 CFR 205.50 et.seq and Welfare and

Page 14 of 23 Attachment 1

Professional Services Contract -HHSA - CONFIDENTIALITY AGREEMENT

Institutions Code section 10850 or as authorized or required by law. Disclosure which are authorized or required by law, such as a court order, or are made with the explicit written authorization of the individual, who is the subject of the PII, are allowable. Any other use or disclosure of PII requires the express approval in writing to County. No Contractor staff shall duplicate, disseminate or disclose PII except as allowed in the Agreement.

- 3. Develop and maintain a written information privacy and security program that includes the designation of Privacy and Security Officer and establishes and maintains appropriate safeguards to prevent any use or disclosure of PII other than as provided for by this agreement and applicable law. Safeguards shall include administrative, physical, and technical safeguards appropriate to the size and complexity of the Contractor's operations and the nature and scope of its activities. Contractor will provide County with information concerning such safeguards as County may reasonably request from time to time.
- 4. Ensure regular and sufficient training, including onboard training to all newly hired staff within 30 days, annual refresher training, and utilize reasonable measures to ensure compliance with requirements of this agreement by Contractor's employees or workforce members who use or disclose PII (in any form) to assist in the performance of functions or activities under this contract; and discipline such workforce members and employees who violate any provisions of this agreement, including termination of employment. Workforce member training shall be documented and such documents retained for three years beyond the end of this contract and made available to County for inspection if requested.
- 5. Ensure that all Contractor staff sign a confidentiality statement. Confidentiality statements shall be signed by Contractor staff prior to accessing PII and annually thereafter. The statements shall include at a minimum:
 - a. General Use;
 - b. Security and Privacy Safeguards;
 - c. Unacceptable Use; and
 - d. Enforcement Polices.

Statements shall be retained for a period of three years beyond the end of this contract and made available to County for inspection if requested.

6. Conduct a background screening of Contractor staff before they may access PII. The background screening should be commensurate with the risk and magnitude of harm Contractor staff could cause. More thorough screening shall be done for those staff who are authorized to bypass significant technical and operational security controls.

Background screening documentation shall be retained for each staff for a period of three (3) years following termination of this Agreement.

- 7. Secure all areas of facilities where Contractor staff use, disclose or store PII including:
- a. Ensure procedures and controls are in place to promptly revoke access to the facility from terminated employees.
- b. Store paper records with PII in locked spaces, such as locked file cabinets, locked file rooms, locked desks or locked offices.
- c. Using all reasonable measures to prevent non-authorized personnel and visitors from having access to, control of or viewing PII.
- d. These areas shall be restricted to only allow access to authorized individuals by using one or more of the following:

Page 15 of 23
Attachment 1

- i. Properly coded key cards
 - ii. Authorized door keys
 - iii. Official Identification
- 8. Secure all devices which are used to access PII including:
- a. Encrypted workstations, laptops, mobile devices and removable media using FIPS 140-2 certified algorithm 128 bit or higher, such as Advanced Encryption Standard (AES). The encryption system must be full disk. It is encouraged, when available and when feasible, that the encryption be 256 bit.
- b. Encrypt electronic files containing PII when stored on any mobile devise or removable media to same standards as above.
- **c.** Install and actively use antivirus software solutions on all workstations, laptops and other systems which process and/or store PII.
- d. Apply all critical security patches within thirty (30) days of vendor release to all workstations, laptops or other systems which process and/or store PII.
- e. Implement a policy to ensure the integrity of individual staff's passwords used to access PII.
- f. Destroy all PII that is no longer needed using a method consistent with NIST SP800-88, Guidelines for Media Sanitation, such that the PII cannot be retrieved.
- g. Servers containing unencrypted PII must have sufficient administrative, physical, and technical controls in place to protect that data, based upon a risk assessment/system security review. It is recommended to follow the guidelines documented in the latest revision of the National Institute of Standards and Technology (NIST) Special Publication (SP) 800-53, Security and Privacy Controls for Federal Information Systems and Organizations.
- h. Only the minimum necessary amount of PII required to perform required business functions may be accessed, copied, downloaded, or exported.
- 9. Contractor may ensure its compliance with the following administrative, technical and physical safeguards through the system that it obtains access to PII, including County's Statewide Automated Welfare System Consortium, C-IV Consortium, with prior verification and approval of County:
 - A. Critical security patch management must be applied on all workstations, laptops and other systems, which process and/or share PII with system reboot if necessary. There must be a documented patch management process that determines installation timeframe based on risk assessment and vendor recommendations. At maximum, all applicable patches deemed as critical are installed within thirty (30) days of vendor release.
 - B. All contract workforce members and employees must be issued a unique user name for accessing PII which are promptly disabled, deleted, or the password changed upon the transfer or termination of an employee within twenty-four (24) hours. The following provision shall apply:
 - a. Passwords are not shared.
 - b. Passwords must be at least eight (8) characters long.
 - c. Passwords must be a non-dictionary word.
 - d. Passwords must be stored in readable format on the computer or server.
 - e. Passwords must be changed every ninety (90) days or less.
 - f. Passwords must be changed if revealed or compromised.
 - g. Passwords must be composed of characters from at least three (3) of the following four (4) groups from the standard keyboard:
 - i. Upper case letters (A-Z)
 - ii. Lower case letters (a-z)
 - iii. Arabic numerals (0-9)
 - iv. Special Characters (!, @, #, etc.)

Page 16 of 23 Attachment 1

- C. When no longer needed, all PII must be cleared, purged, or destroyed consistent with NIST SP 800-88, Guidelines for Media Sanitization, such that the PII cannot be retrieved.
- D. The systems providing access to PII must provide an automatic timeout, requiring reauthentication of the user session after no more than twenty (20) minutes of inactivity.
- E. The systems providing access to PII must display a warning banner stating, at minimum that data is confidential, systems are logged, system use is for business purposes only for authorizes users and users shall log off the system immediately if they do not agree with these statements.
- F. The systems which provide access to PII must maintain an automated audit trail that can identify the user or system process which initiates a request for PII, or alters PII.

The audit trail i) be date and time stamped, ii) log both successful and failed accesses, iii) be read-access only and iv) be restricted to authorized users. Databases that store POII shall have database logging functionality that is enabled and audit trails shall be retained for three years beyond the end of this contract and made available to County for inspection if requested.

- G. The system providing access to PII shall use role-based access controls for all user authentications, enforcing the principle of least privilege.
- H. All data transmissions of PII outside of a secure internal network must be encrypted using a Federal Information Processing Standard (FIPS) 140-2 certified algorithm that is 128 bit or higher, such as Advanced Encryption Standard (AES) or Transport Layer Security (TLS). It is encouraged, when available and when feasible, that 256 bit encryption be used. Encryption can be end to end at the network level, or the data files containing PII can be encrypted. This requirement pertains to any type of PII in motion including website access, file transfer and email.
- I. All systems involved in accessing, storing, transporting, and protecting PII, which are accessible through the Internet, must be protected by an intrusion detection and prevention solution.
- J. Contractor must ensure audit control mechanisms are in place. All systems processing and/is storing PII must have at least an annual system risk assessment/security that ensures administrative, physical, and technical controls are functioning effectively and provide an adequate level of protection. Reviews should include vulnerability scanning tools. All systems processing and/or storing PII must have a process or automated procedure in place to review system logs for unauthorized access. All systems processing and/or storing PII must have a documented change control process that ensures separation of duties and protects the confidentiality, integrity and availability of data. When the Contractor, County or DHCS suspects MEDS usage anomalies, the Contractor will work with the County or DHCS to investigate the anomalies and report conclusions of such investigations and remediation to County.
- K. Contractor must establish a documented plan to enable continuation of critical business processes and protection of the security of PII kept in an electronic format in the event of an emergency. Emergency means any circumstance or situation that causes normal computer operations to become unavailable for use in performing the work required under this Agreement for more than twenty-four (24) hours. Data centers with servers, data storage devices, and critical network infrastructure involved in the use, storage and/or processing of PII, must include environmental protection such as cooling, power, and fire prevention, detection, and suppression. Contractor

Page 17 of 23 Attachment 1 shall have established documented procedures to backup PII to maintain retrievable exact copies of PII. The documented backup procedures shall contain a schedule which includes incremental and full backups. The procedures shall include storing backup's offsite. The procedures

shall ensure an inventory of backup media. Contractor shall have established documented procedures to recover PII data which shall include an estimated amount of time needed to restore the PII data.

10. The PII in paper form shall not be left unattended at any time, unless it is locked in a file cabinet, file room, desk or office. Unattended means that information may be observed by an individual not authorized to access the information. Contractor shall have policies that include, based on applicable risk factors, a description of the circumstances under which the contractor staff can remove and/or transport PII from the Contractor's premises for identified routine businesses purposes only, as well as the physical security requirements during transport. This should be included in training due to the nature of the risk.

Visitors to areas where PII is contained shall be escorted, and PII shall be kept out of sight while visitors are in the area. PII must be disposed of through confidential means, such as cross cut shredding or pulverizing. The PII must not be removed from the premises of Contractor, except for identified routine business purposes or with express written permission of County.

All faxes containing PII shall not be left unattended and fax machines shall be in secure areas. Faxes shall contain confidentiality statement notifying persons receiving faxes in error to destroy them and notify the sender. Fax numbers shall be verified with the intended recipient before sending the fax.

All mailings containing PII shall be sealed and secured from damages or inappropriate viewing of PII to the best extent possible. Mailings that include five hundred (500) or more individually identifiable records containing PII in a single package shall be sent using a tracked mailing method that includes verification of delivery and receipt.

- 11. Take prompt corrective action in the event of any security incident or any unauthorized use or disclosure of PII to cure any such deficiencies and to take any action required by applicable federal and state laws and regulations.
- 12. Report to County any security incident or any unauthorized use or disclosure of PII (in any form. Contractor shall make this report immediately upon the discovery of the use, disclosure, or security incident. Any unauthorized use or disclosure or security incident shall be treated as discovered by Contractor on the first day on which such use or disclosure or security incident is known to the Contractor, including any person, other than the individual committing the unauthorized use or disclosure or security incident, that is an employee, officer or other agent of the Contractor, or who should reasonably have known such unauthorized activities occurred.

To direct communications regarding any security incident, the Contractor shall initiate contacts as indicated herein.

Nevada County	Nevada County
Department of Social Services	Privacy & Security Officer

CalWORKs Welfare to Work Program

Department of Social Services 988 McCourtney Road Grass

Valley, CA 95949

Point of Contact: Rachel Roos

Email: Rachel.Roos@co.nevada.ca.us

Phone: 530-265-1760

Privacy Officer

Health and Human Services Agency

950 Maidu Avenue Nevada City, CA 95959

Point of Contact: Candace Pelham

Email: privacy.officer@co.nevada.ca.us

Phone: 530-265-1740

- 13. Make Contractor's internal practices, books, and records relating to the use and disclosure of PII received from, or created or received by the Contractor on behalf of County available to the County upon request.
- 14. Contractor agrees that this agreement may be amended from time to time by County if and to the extent required by the Welfare and Institutions Code or the Department of Social Service Privacy and Security Agreement, in order to assure that this agreement is consistent therewith; and authorize termination of the agreement by County if County determines that Contractor has violated a material term of this agreement.
- 15. Contractor shall not provide access or disclose PII to any sub-contractor or other individual not employed directly by Contractor without written permission from the County.
 - 16. Contractor agrees to comply with applicable provisions in the Computer Matching and Privacy Protection Act Agreement (CMPAA) between the Social Security Administration (SSA) and California Health and Human Services Agency (CHHS), in the Information Exchange Agreement (IEA) between SSA and CDSS, in the Electronic Information Exchange Security Requirements and Procedures for State and Local Agencies Exchanging Electronic Information with the SSA (TSSR), hereby incorporated in this contract as Attachment A, and in the Computer Matching Agreement (CMA) between the Department/Agency of Homeland Security, United States Citizenship and Immigration Services (DHS-USCIS) and CDSS, hereby incorporated into this contract as Attachment B.

If there is any conflict between a privacy and security standard in Attachment A or Attachment B and a standard in this contract, the most stringent standard shall apply. The most stringent standard means the standard that provides the greatest protection to PII.

Attachment A and Attachment B contents are highly sensitive and confidential. All disclosures of these attachments shall be limited to the appropriate parties or individuals responsible for and involved in decision making for safeguarding of PII. These documents are not public and shall not be published on any website accessible by or otherwise made available to the public.

EXHIBIT C INSURANCE REQUIREMENTS ALLIANCE FOR WORKFORCE DEVELOPMENT, INC.

Insurance. Contractor shall procure and maintain for the duration of the contract insurance against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work hereunder by Contractor, its agents, representatives, or employees. Coverage shall be at least as broad as:

- 1. **Commercial General Liability (CGL)**: Insurance Services Office Form CG 00 01 covering CGL on an "occurrence" basis, including products and completed operations, property damage, bodily injury and personal & advertising injury with limits no less than \$2,000,000 per occurrence. If a general aggregate limit applies, either the general aggregate limit shall apply separately to this project/location (ISO CG 25 03 or 25 04) or the general aggregate limit shall be twice the required occurrence limit.
- 2. **Sexual Abuse or Molestation (SAM) Liability:** If the work will include contact with minors, elderly adults, or otherwise vulnerable clients and the CGL policy referenced above is not endorsed to include affirmative coverage for sexual abuse or molestation, Contractor shall obtain and maintain policy covering Sexual Abuse and Molestation with a limit no less than **\$1,000,000** per occurrence or claim.
- 3. **Automobile Liability:** Insurance Services Office Form Number CA 0001 covering, Code 1 (any auto), or if Contractor has no owned autos, Code 8 (hired) and 9 (non-owned), with limit no less than \$1,000,000 per accident for bodily injury and property damage.
- 4. **Workers' Compensation:** Insurance as required by the State of California, with Statutory Limits, and Employer's Liability Insurance with limit of no less than \$1,000,000 per accident for bodily injury or disease.
- 5. **Professional Liability (Errors and Omissions)** Insurance covering **social services** with limit no less than **\$1,000,000** per occurrence or claim, **\$2,000,000** aggregate.

The insurance obligations under this Contract shall be the greater of 1—all the Insurance coverage and limits carried by or available to Contractor; or 2—the minimum Insurance requirements shown in this Contract. Any insurance proceeds in excess of the specified limits and coverage required, which are applicable to a given loss, shall be available to County. No representation is made that the minimum Insurance requirements of this Contract are sufficient to cover the indemnity or other obligations of Contractor under this Contract.

If Contractor maintains broader coverage and/or higher limits than the minimums shown above, County requires and shall be entitled to the broader coverage and/or the higher limits maintained by Contractor. Any available insurance proceeds in excess of the specified minimum limits of insurance and coverage shall be available to County.

Other Insurance Provisions:

The insurance policies are to contain, or be endorsed to contain, the following provisions:

1. Additional Insured Status: County, its officers, employees, agents, and volunteers are to be covered as additional insureds on the CGL policy with respect to liability arising out of the work or operations performed by or on behalf of Contractor including materials, parts, or equipment furnished in connection with such work or operations. General liability coverage can be provided in the form of an endorsement to Contractor's insurance (at least as broad as ISO Form CG 20 10 11 85 or both CG 20 10, CG 20 25, CG 20 33, or CG 20 38; and CG 20 37 forms if later revisions used.)

Page 20 of 23 Exhibit C

- 2. **Primary Coverage** For any claims related to this contract, **Contractor's insurance shall be primary** insurance primary coverage at least as broad as ISO CG 20 01 04 13 as respects County, its officers, employees, agents, and volunteers. Any insurance or self-insurance maintained by County, its officers, employees, agents, and volunteers shall be excess of Contractor's insurance and shall not contribute with it.
- 3. **Notice of Cancellation** This policy shall not be changed without first giving thirty (30) days prior written notice and ten (10) days prior written notice of cancellation for non-payment of premium to County.
- 4. **Waiver of Subrogation** Contractor hereby grants to County a waiver of any right to subrogation which any insurer or said Contractor may acquire against County by virtue of the payment of any loss under such insurance. Contractor agrees to obtain any endorsement that may be necessary to affect this waiver of subrogation, but this provision applies regardless of whether or not County has received a waiver of subrogation endorsement from the insurer.
- 5. **Deductible and Self-Insured Retentions** Deductible and Self-insured retentions must be declared to and approved by County. County may require Contractor to provide proof of ability to pay losses and related investigations, claims administration, and defense expenses within the retention. The policy language shall provide, or be endorsed to provide, that the self-insured retention may be satisfied by either the named insured or County.
- 6. **Acceptability of Insurers:** Insurance is to be placed with insurers authorized to conduct business in the State with a current A.M. Best's rating of no less than A:VII, unless otherwise acceptable to County.
- 7. **Claims Made Policies** if any of the required policies provide coverage on a claims-made basis:
 - a. The Retroactive Date must be shown and must be before the date of the contract or the beginning of contract work.
 - b. Insurance must be maintained, and evidence of insurance must be provided for at least five (5) years after completion of the contract of work.
 - c. If the coverage is canceled or non-renewed, and not replaced with another claims-made policy form with a Retroactive Date, prior to the contract effective date, Contractor must purchase "extended reporting" coverage for a minimum of five (5) years after completion of contract work.
- 8. **Verification of Coverage** Contractor shall furnish County with original Certificates of Insurance including all required amendatory endorsements (or copies of the applicable policy language effecting coverage required by this clause) and a copy of the Declarations and Endorsement Page of the CGL policy listing all policy endorsements to County before work begins. However, failure to obtain and provide verification of the required documents prior to the work beginning shall not waive Contractor's obligation to provide them. County reserves the right to require complete, certified copies of all required insurance policies, including endorsements required by these specifications, at any time.
- 9. **Subcontractors** Contractor shall require and verify that all subcontractors maintain insurance meeting all the requirements stated herein, and Contractor shall ensure that County is an additional insured on insurance required from subcontractors. For CGL coverage subcontractors shall provide coverage with a format at least as broad as CG 20 38 04 13.
- 10. **Special Risks or Circumstances** County reserves the right to modify these requirements, including limits, based on the nature of the risk, prior experience, insurer, coverage, or other special circumstances.
- 11. **Conformity of Coverages** If more than one policy is used to meet the required coverages, such as an umbrella policy or excess policy, such policies shall be following form with all other applicable policies used to meet these minimum requirements. For example, all policies shall be Occurrence Liability policies, or all shall be Claims Made Liability policies, if approved by County as noted above. In no cases shall the types of polices be different.

Page 21 of 23
Exhibit C

- 12. **Premium Payments** The insurance companies shall have no recourse against County and funding agencies, its officers and employees or any of them for payment of any premiums or assessments under any policy issued by a mutual insurance company.
- 13. **Material Breach** Failure of Contractor to maintain the insurance required by this Contract, or to comply with any of the requirements of this section, shall constitute a material breach of the entire Contract.
- 14. **Certificate Holder** The Certificate Holder on insurance certificates and related documents should read as follows:

County of Nevada 950 Maidu Ave. Nevada City, CA 95959

Upon initial award of a Contract to your firm, you may be instructed to send the actual documents to a County contact person for preliminary compliance review.

Certificates which amend or alter the coverage during the term of the Contract, including updated certificates due to policy renewal, should be sent directly to Contract Administrator.

SUMMARY PAGE

ALLIANCE FOR WORKFORCE DEVELOPMENT, INC.

Description of Services: Provision of employment services in Western and Eastern Nevada county.

SUMMARY OF MATERIAL TERMS

Max Annual Price: 130,000.00

Contract Start Date: 7/1/2023 Contract End Date: 6/30/2024

Liquidated Damages: N/A

INSURANCE POLICIES

Commercial General Liability	(\$2,000,000)	Worker's Compensation (S	tatutory Limits)
Sexual Abuse or Molestation Liability	(\$1,000,000)	Professional Errors and Omissions	(\$1,000,000)
Automobile Liability	(\$1,000,000)		

FUNDING

1589-50105-494-5001/521520

LICENSES AND PREVAILING WAGES

Designate all required licenses: N/A

NOTICE & IDENTIFICATION

COUNTY O	F NEVADA:	CONTRACT	OR:
Nevada Coun	ty Social Services Department, Health and	Alliance for Workforce Development, Inc.	
Human Service	ees Agency		_
Address:	950 Maidu Ave	Address	P.O. Box 3750
City, St, Zip	Nevada City, CA 95959	City, St, Zip	Quincy, California 95971
Attn:	Tamaran Cook	Attn:	Kristine ZuaZua
Email:	Tamaran.Cook@nevadacountyca.gov	Email:	kfarrell@ncen.org
Phone:	(530) 265-7160	Phone:	(530) 616-1525

Contractor is a: (check all that apply)				EDD Worksheet Require	1 Yes □	$No \boxtimes$		
Corporation:	\boxtimes	Calif. ⊠	Other 🗆	LLC 🗆		Additional Terms & Con	litions Includ	ed
Non- Profit:	\boxtimes	Corp. ⊠				(Grant Specific)	Yes ⊠	No□
Partnership:		Calif. □	Other 🗆	LLP□	Limited□	Subrecipient	Yes □	No⊠
Person:		Indiv. □	DBA□	Ass'n □	Other□			

ATTACHMENTS

Exhibit A:Schedule of Services	Exhibit C:Insurance Requirements
Exhibit B:Schedule of Charges and Payments	



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY) 10/4/2023

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER		CONTACT NAME: Haley Glickman, Account Manager			
InterWest Insurance Services, LLC		PHONE (A/C, No, Ext): 925-977-4131	FAX (A/C, No): 925-9	77-4131	
1357 East Lassen Ave Chico CA 95973		ADDRESS: hglickman@iwins.com	247 45 31	SE SESSION	
S11100 S71 0001 0		INSURER(S) AFFORDING C	OVERAGE	NAIC#	
	License#: 0B01094	INSURER A: Nonprofits' Insurance Allian	ce of California	11845	
INSURED Alliance for Workforce Development Inc. P.O. Box 3750 Quincy CA 95971			t KORALLIA	35076	
		INSURER C:			
		INSURER D:			
		INSURER E :	KU, LURLUY		
		INSURER F:			
			CLOSE SHIMPED.		

CERTIFICATE NUMBER: 774956298 REVISION NUMBER: COVERAGES THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, ONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

ISR TR	SR TYPE OF INSURANCE		TYPE OF INSURANCE ADDL SUB					POLICY EXP (MM/DD/YYYY)	LIMITS	
A A	X	COMMERCIAL GENERAL LIABILITY	Y	Y	202305282	9/29/2023	9/29/2024	EACH OCCURRENCE DAMAGE TO RENTED PREMISES (Ea occurrence)	\$ 1,000,000 \$ 500,000	
		CLAIMS-MADE X OCCUR			The graph of the		to rest	MED EXP (Any one person)	\$ 20,000	
								PERSONAL & ADV INJURY	\$ 1,000,000	
	GEN	"L AGGREGATE LIMIT APPLIES PER:						GENERAL AGGREGATE	\$3,000,000	
	X	POLICY PRO- JECT LOC						PRODUCTS - COMP/OP AGG	\$3,000,000	
		OTHER:						Liquor Liability	\$ \$1MM/\$1MM	
Α	AUT	OMOBILE LIABILITY			202305282	9/29/2023	9/29/2024	COMBINED SINGLE LIMIT (Ea accident)	\$ 1,000,000	
	X	ANY AUTO	815					BODILY INJURY (Per person)	\$	
		OWNED SCHEDULED						BODILY INJURY (Per accident)	\$	
	X	AUTOS ONLY HIRED X NON-OWNED						PROPERTY DAMAGE (Per accident)	\$	
		AUTOS ONLY AUTOS ONLY							\$	
Δ	X	UMBRELLA LIAB X OCCUR		202305282UMB		202305282UMB	9/29/2023	9/29/2024	EACH OCCURRENCE	\$ 10,000,000
	EXCESS LIAB CLAIMS-MADE							AGGREGATE	\$ 10,000,000	
		DED X RETENTION \$ 0							\$	
3		KERS COMPENSATION	TIE!		90162272023	7/1/2023	7/1/2024	PER OTH- STATUTE ER		
AND EMPLOYERS' LIABILITY ANYPROPRIETOR/PARTNER/EXECUTIVE		L. F.		CHEST STORY OF THE STORY	S. Luiden	SCHOOL SE	E.L. EACH ACCIDENT	\$ 1,000,000		
	OFFI	CER/MEMBER EXCLUDED?	N/A		THE RESERVE AND A SECOND RESERVED AS			E.L. DISEASE - EA EMPLOYEE	\$1,000,000	
	If ves	s, describe under CRIPTION OF OPERATIONS below						E.L. DISEASE - POLICY LIMIT	\$1,000,000	
A Improper Sexual Abuse Liability Social Service Prof. Liability		110	Ly	202305282	9/29/2023	9/29/2024	Occurrence/Aggregate Occurrence/Aggregate	\$1M/\$3M \$1M/\$3M		

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required) Certificate holder is included as Additional Insured on the General Liability policy, if required by written contract or agreement, per the attached endorsement, as respects employment services in Western and Eastern Nevada County. Primary & Non-Contributory and Waiver of Subrogation are included if required by written contract or agreement, per the attached endorsement. Workers Compensation Waiver of Subrogation is included, per the attached endorsement.

CERTIFICATE HOLDER	CANCELLATION
YOU ON ART OF CONTRACT, FIRE A SECURITION OF A STATE OF SECURITION OF SECURITIES.	SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.
County of Nevada Health and Human Services Agency 950 Maidu Avenue Nevada City CA 95959	AUTHORIZED REPRESENTATIVE

ENDORSEMENT AGREEMENT WAIVER OF SUBROGATION



HOME OFFICE SAN FRANCISCO

ALL EFFECTIVE DATES ARE AT 12:01 AM PACIFIC STANDARD TIME OR THE TIME INDICATED AT PACIFIC STANDARD TIME REP 01 9016227-23 RENEWAL NF 4-40-44-33 PAGE 1

EFFECTIVE JULY 14, 2023 AT 12.01 A.M. AND EXPIRING JULY 1, 2024 AT 12.01 A.M.

ALLIANCE FOR WORKFORCE

PO BOX 3750 QUINCY, CA 95971

ANYTHING IN THIS POLICY TO THE CONTRARY NOTWITHSTANDING, IT IS AGREED THAT THE STATE COMPENSATION INSURANCE FUND WAIVES ANY RIGHT OF SUBROGATION AGAINST,

COUNTY OF NEVADA HEALTH & HUMAN SVCS

WHICH MIGHT ARISE BY REASON OF ANY PAYMENT UNDER THIS POLICY IN CONNECTION WITH WORK PERFORMED BY,

ALLIANCE FOR WORKFORCE

IT IS FURTHER AGREED THAT THE INSURED SHALL MAINTAIN PAYROLL RECORDS ACCURATELY SEGREGATING THE REMUNERATION OF EMPLOYEES WHILE ENGAGED IN WORK FOR THE ABOVE EMPLOYER.

IT IS FURTHER AGREED THAT PREMIUM ON THE EARNINGS OF SUCH EMPLOYEES SHALL BE INCREASED BY 03%.

NOTHING IN THIS ENDORSEMENT SHALL BE HELD TO VARY, ALTER, WAIVE OR EXTEND ANY OF THE TERMS, CONDITIONS, AGREEMENTS, OR LIMITATIONS OF THIS POLICY OTHER THAN AS ABOVE STATED. NOTHING ELSEWHERE IN THIS POLICY SHALL BE HELD TO VARY, ALTER, WAIVE OR LIMIT THE TERMS, CONDITIONS, AGREEMENTS OR LIMITATIONS IN THIS ENDORSEMENT.

COUNTERSIGNED AND ISSUED AT SAN FRANCISCO:

JULY 19, 2023

Komt RVa Kanf

ensur Steeren

Named Insured:

Alliance for Workforce Development, Inc.

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

ADDITIONAL INSURED – DESIGNATED PERSON OR ORGANIZATION

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

SCHEDULE

Name Of Additional Insured Person(s) Or Organization(s):

Any person or organization that you are required to add as an additional insured on this policy, under a written contract or agreement currently in effect, or becoming effective during the term of this policy. The additional insured status will not be afforded with respect to liability arising out of or related to your activities as a real estate manager for that person or organization.

Information required to complete this Schedule, if not shown above, will be shown in the Declarations.

- A. Section II Who Is An Insured is amended to include as an additional insured the person(s) or organization(s) shown in the Schedule, but only with respect to liability for "bodily injury", "property damage" or "personal and advertising injury" caused, in whole or in part, by your acts or omissions or the acts or omissions of those acting on your behalf:
 - In the performance of your ongoing operations; or
 - 2. In connection with your premises owned by or rented to you.

However:

- The insurance afforded to such additional insured only applies to the extent permitted by law; and
- 2. If coverage provided to the additional insured is required by a contract or agreement, the insurance afforded to such additional insured will not be broader than that which you are required by the contract or agreement to provide for such additional insured.

B. With respect to the insurance afforded to these additional insureds, the following is added to Section III – Limits Of Insurance:

If coverage provided to the additional insured is required by a contract or agreement, the most we will pay on behalf of the additional insured is the amount of insurance:

- 1. Required by the contract or agreement; or
- Available under the applicable Limits of Insurance shown in the Declarations; whichever is less.

This endorsement shall not increase the applicable Limits of Insurance shown in the Declarations.



A Head for Insurance. A Heart for Nonprofits.

NAMED INSURED: Alliance for Workforce Development, Inc.

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

WAIVER OF TRANSFER OF RIGHTS OF RECOVERY AGAINST OTHERS (WAIVER OF SUBROGATION)

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART SOCIAL SERVICE PROFESSIONAL LIABILITY COVERAGE FORM

	SCHEDULE
Name of Person or Organization:	Installmagna to nable. Will let repaired entitle

Where you are so required in a written contract or agreement currently in effect or becoming effective during the term of this policy, we waive any right of recovery we may have against that person or organization, who may be named in the schedule above, because of payments we make for injury or damage.



THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

ADDITIONAL INSURED PRIMARY AND NON-CONTRIBUTORY ENDORSEMENT FOR PUBLIC ENTITIES

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

The same of the sa	
lame of Person or Organization:	
latile of Person of Organization.	

SCHEDULE

A. Section II - WHO IS AN INSURED is amended to include:

- **4.** Any public entity as an additional insured, and the officers, officials, employees, agents and/or volunteers of that public entity, as applicable, who may be named in the Schedule above, when you have agreed in a written contract or written agreement presently in effect or becoming effective during the term of this policy, that such public entity and/or its officers, officials, employees, agents and/or volunteers be added as an additional insured(s) on your policy, but only with respect to liability for "bodily injury", "property damage" or "personal and advertising injury" caused, in whole or in part, by:
 - a. Your negligent acts or omissions; or
 - b. The negligent acts or omissions of those acting on your behalf;

in the performance of your ongoing operations.

No such public entity or individual is an additional insured for liability arising out of the sole negligence by that public entity or its designated individuals. The additional insured status will not be afforded with respect to liability arising out of or related to your activities as a real estate manager for that person or organization.

- B. Section III LIMITS OF INSURANCE is amended to include:
 - **8.** The limits of insurance applicable to the public entity and applicable individuals identified as an additional insured(s) pursuant to Provision A.4. above, are those specified in the written contract between you and that public entity, or the limits available under this policy, whichever are less. These limits are part of and not in addition to the limits of insurance under this policy.
- C. With respect to the insurance provided to the additional insured(s), Condition 4. Other Insurance of SECTION IV COMMERCIAL GENERAL LIABILITY CONDITIONS is replaced by the following:
 - 4. Other Insurance
 - a. Primary Insurance

This insurance is primary if you have agreed in a written contract or written agreement:

(1) That this insurance be primary. If other insurance is also primary, we will share with all that other insurance as described in **c.** below; or

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(2) The coverage afforded by this insurance is primary and non-contributory with the additional

Paragraphs (1) and (2) do not apply to other insurance to which the additional insured(s) has been added as an additional insured or to other insurance described in paragraph **b.** below.

b. Excess Insurance

This insurance is excess over:

insured(s)' own insurance.

- 1. Any of the other insurance, whether primary, excess, contingent or on any other basis:
 - (a) That is Fire, Extended Coverage, Builder's Risk, Installation Risk or similar coverage for "your work";
 - (b) That is fire, lightning, or explosion insurance for premises rented to you or temporarily occupied by you with permission of the owner;
 - (c) That is insurance purchased by you to cover your liability as a tenant for "property damage" to premises temporarily occupied by you with permission of the owner; or
 - (d) If the loss arises out of the maintenance or use of aircraft, "autos" or watercraft to the extent not subject to Exclusion g. of SECTION I COVERAGE A BODILY INJURY AND PROPERTY DAMAGE.
 - (e) Any other insurance available to an additional insured(s) under this Endorsement covering liability for damages which are subject to this endorsement and for which the additional insured(s) has been added as an additional insured by that other insurance.
- (1) When this insurance is excess, we will have no duty under Coverages A or B to defend the additional insured(s) against any "suit" if any other insurer has a duty to defend the additional insured(s) against that "suit". If no other insurer defends, we will undertake to do so, but we will be entitled to the additional insured(s)' rights against all those other insurers.
- (2) When this insurance is excess over other insurance, we will pay only our share of the amount of the loss, if any, that exceeds the sum of:
 - (a) The total amount that all such other insurance would pay for the loss in the absence of this insurance; and
 - (b) The total of all deductible and self-insured amounts under all that other insurance.
- (3) We will share the remaining loss, if any, with any other insurance that is not described in this **Excess Insurance** provision and was not bought specifically to apply in excess of the Limits of Insurance shown in the Declarations of this Coverage Part.

c. Methods of Sharing

If all of the other insurance available to the additional insured(s) permits contribution by equal shares, we will follow this method also. Under this approach each insurer contributes equal amounts until it has paid its applicable limit of insurance or none of the loss remains, whichever comes first.

If any other the other insurance available to the additional insured(s) does not permit contribution by equal shares, we will contribute by limits. Under this method, each insurer's share is based on the ratio of its applicable limit of insurance to the total applicable limits of insurance of all insurers.

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AM Best Rating Services

Nonprofits Insurance Alliance of California, Inc.

BestLink •

AMB #: 011845 FEIN #: 770203935

Mailing Address

View Additional Address Information

P.O. Box 8507

Santa Cruz, California 95061-8507

United States

Web: www.niac.org
Phone: 831-459-0880
Fax: 831-459-0853

AM Best Rating Unit: AMB #: 018622 - Nonprofits Insurance Alliance Group

Assigned to insurance companies that have, in our opinion, an excellent ability to meet their ongoing insurance obligations.



View additional <u>news, reports and</u> <u>products</u> for this company.

Based on AM Best's analysis, <u>018622 - Nonprofits Insurance Alliance Group</u> is the **AMB Ultimate Parent** and identifies the topmost entity of the corporate structure. View a list of <u>operating insurance entities</u> in this structure.

Best's Credit Ratings

Financial Strength View Definition

Rating (Rating Category):A (Excellent)Affiliation Code:g (Group)Outlook (or Implication):StableAction:Affirmed

Effective Date: October 19, 2022
Initial Rating Date: July 24, 2001

Long-Term Issuer Credit View Definition

Rating (Rating Category): a+ (Excellent)

Outlook (or Implication): Stable

Action: Affirmed

Effective Date: October 19, 2022

Initial Rating Date: October 11, 2007

Financial Size Category View Definition

Financial Size Category: VIII (USD 100 Million to Less than 250

Million)

u Denotes <u>Under Review Best's Rating</u>

Best's Credit Rating Analyst

Rating Office: A.M. Best Rating Services, Inc.
Senior Financial Analyst: Robert Gabriel

Associate Director: Farhad Eslami

Note: See the Disclosure information Form or Press Release below for

the office and analyst at the time of the rating event.

Disclosure Information

Disclosure Information Form

View AM Best's Rating Disclosure Form

View AM Best's Rating Review Form

Rating History

AM Best has provided ratings & analysis on this company since 2001.

Financial Strength Rating		
Effective Date	Rating	
October 19, 2022	Α	
December 03, 2021	Α	
January 06, 2021	Α	
November 19, 2019	Α	
December 05, 2018	Α	
January 11, 2018	A	

Long-Term Issuer Credit Rating				
Effective Date	Rating			
October 19, 2022	a+			
December 03, 2021	a+			
January 06, 2021	a+			
November 19, 2019	a+			
December 05, 2018	a+			
January 11, 2018	а			

Best's Credit & Financial Reports



<u>Best's Credit Report</u> - financial data included in Best's Credit Report reflects the data used in determining the current credit rating(s) for AM Best Rating Unit: AMB #: <u>018622 - Nonprofits Insurance Alliance Group.</u>



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Press Releases

<u>Date</u>	<u>Title</u>	
Dec 05, 2018	AM Best Upgrades Issuer Credit Ratings of Nonprofits Insurance Alliance Group's Insurance Entities	
Dec 09, 2016	A.M. Best Affirms Credit Ratings of the Members of Nonprofits Insurance Alliance Group	
Sep 18, 2015	A.M. Best Affirms Ratings of the Members of Nonprofits Insurance Alliance Group	
Aug 27, 2014	A.M. Best Affirms Ratings of the Members of Nonprofits Insurance Alliance Group	
Sep 26, 2013	A.M. Best Affirms Ratings of the Members of Nonprofits Insurance Alliance Group	
Oct 24, 2012	A.M. Best Affirms Ratings of Members of Nonprofits Insurance Alliance Group	
Nov 04, 2011	A.M. Best Affirms Ratings for the Nonprofits Insurance Alliance Group Members	
Nov 17, 2010	A.M. Best Upgrades Ratings of Two Members of Nonprofits Insurance Alliance Group	

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AM Best Rating Services

State Compensation Insurance Fund

BestLink •

AMB #: 004028 NAIC #: 35076 FEIN #: 943231751

Domiciliary Address

333 Bush Street 8th Floor San Francisco, California 94104 <u>United States</u>

Web: <u>www.statefundca.com</u> Phone: 415-263-5400 Fax: 415-263-5827 Status assigned to insurance companies that are not rated; may include previously rated insurance companies or insurance companies that have never been rated by AM Best.

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Best's Credit Ratings

Financial Strength View Definition

NR (Not Rated)

Long-Term Issuer Credit View Definition

nr (Not Rated)

u Denotes Under Review Best's Rating

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Date. Title May 01, 2002 A.M. Best Withdraws Rating of California State Fund Apr 30, 2002 A.M. Best Downgrades Rating of California State Fund Mar 30, 2001 A.M. Best Lowers California State Fund

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